

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 8257.15USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/786184</b>
INTERNATIONAL APPLICATION NO. PCT/AU99/00785	INTERNATIONAL FILING DATE September 16, 1999	PRIORITY DATE CLAIMED September 28, 1998	
TITLE OF INVENTION SOIL REMEDIATION SYSTEM			
APPLICANT(S) FOR DO/EO/US LUCAS et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11. to 16. below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: 2 Sheets of Formal Drawings; Form PCT/IPEA/409; PCT/IPEA/401; PCT/ISA/210</p>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown <b>09/786184</b>		INTERNATIONAL APPLICATION NO. PCT/AU99/00785		ATTORNEY'S DOCKET NUMBER 8257.15USWO	
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17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b> Search Report has been prepared by the EPO or JPO.....\$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.492(a)(1)).....\$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$710.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(3)) paid to USPTO ..... \$1000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	35 -20 =	15	X \$18.00		
Independent claims	4 -3 =	1	X \$80.00	\$270.00	
Multiple dependent claim(s) (if applicable)				+ \$260.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1350.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$675.00	
<b>SUBTOTAL =</b>				\$675.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
<b>TOTAL NATIONAL FEE =</b>				\$675.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$	
<b>TOTAL FEES ENCLOSED =</b>				\$675.00	
				<b>Amount to be:</b>	
				<b>refunded</b>	\$
				<b>charged</b>	\$


a. ☒ Check(s) in the amount of \$675.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-2725.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO  
 Brian H. Batzli  
 MERCHANT & GOULD  
 P.O. Box 2903  
 Minneapolis, MN 55402-0903

SIGNATURE: 

NAME: Brian H. Batzli

REGISTRATION NUMBER: 32,960

09/786184

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J002 Rec'd PCT/PTO

01 MAR 2001

Applicant: LUCAS et al.  
 Docket: 8257.15USWO  
 Title: SOIL REMEDIATION SYSTEM

## CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL658340738US

Date of Deposit: March 1, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: B. Maharaj  
 Name: Brian Maharaj

BOX PCT

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 13 pgs; 35 claims; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 2 sheets of formal drawings
- ☒ Small entity status is claimed pursuant to 37 CFR 1.27
- ☒ An unsigned Combined Declaration and Power of Attorney
- ☒ A check in the amount of \$675.00 to cover the Filing Fee
- ☒ Other: Preliminary Amendment; PTO Form-1390; Form PCT/IPEA/409; PCT/IPEA/401; PCT/ISA/210
- ☒ Return postcard

## CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
<b>Basic Filing Fee</b>				\$500.00
<b>Total Claims</b>				
35	- 20	= 15	x 9.00	= \$135.00
<b>Independent Claims</b>				
4	- 3	= 1	x 40.00	= \$40.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$675.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT &amp; GOULD P.C.

P.O. Box 2903, Minneapolis, MN 55402-0903  
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By: B. H. Batzli  
 Name: Brian H. Batzli  
 Reg. No.: 32,960  
 Initials: BHB/kas



23552

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

S/N unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant:	LUCAS et al.	Docket No.:	8257.15USWO
Serial No.:	unknown	Filed:	concurrent herewith
Int'l Appln No.:	PCT/AU99/00785	Int'l Filing Date:	September 16, 1999
Title:	SOIL REMEDIATION SYSTEM		

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL658340738US

Date of Deposit: March 1, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:   
Name: Brian Maharaj

PRELIMINARY AMENDMENT

Box PCT  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendments:

IN THE ABSTRACT

Insert the attached Abstract page into the application as the last page thereof.

IN THE SPECIFICATION

A courtesy copy of the present specification is enclosed herewith. However, the World Intellectual Property Office (WIPO) copy should be relied upon if it is already in the U.S. Patent Office.

## IN THE CLAIMS

Please amend claims 3, 4, 6, 7, 8, 11, 13, 14, 15, 17, 18, 22, 23, 24, 25, 27, 28, 32, 33, 38

and 39 as follows:

3. (amended) A process according to claim 1, wherein excess preheated air is vented during treatment of higher contaminated soil.

4. (amended) A process according to claim 1, wherein the heat exchange is conducted in a heat exchanger having metal heat exchange surfaces and wherein the metal surface temperatures are maintained above 500°C and below 700°C.

6. (amended) A process according to claim 1, wherein the hot gas flow through both the combustion air and contaminants heat exchanges is controlled.

7. (amended) A process according to claim 1, wherein the separated contaminants are treated in said thermal oxidiser in at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

8. (amended) A process according to claim 1, wherein desorbed contaminants in gaseous form are at least in part combusted within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion.

11. (amended) Apparatus according to claim 9, wherein the heat exchange means is directly installed in the hot gas duct at the offgas outlet end of the second furnace means.

13. (amended) Apparatus according to claim 11, wherein the leading tube bank of the heat exchange means incorporates variable tube spacing to facilitate said direct installation.

14. (amended) Apparatus according to claim 9, further including an energy dump valve from the heat exchange means for venting of excess preheated air.

15. (amended) Apparatus according to any one of claim 9, wherein the heat exchange means includes a hot gas by-pass duct and damper system in either or both the offgas duct or by-pass duct to control hot gas flow through both the combustion air and contaminants heat exchangers.

17. (amended) Apparatus according to claim 9, wherein said second furnace for thermal oxidation includes at least two stages including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

18. (amended) Apparatus according to claim 9, further including:  
  
means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form; and  
means for conveying the products of said at least partial combustion to said second furnace means for further combustion therein.
22. (amended) A process according to claim 20, including admitting the contaminated soil to an upper, cooler end of the rotary kiln at a controlled rate and wherein rotation of the kiln causes the soil to move down the inside of the kiln towards the hotter end containing a burner.
23. (amended) A process according to any claim 19, wherein the at least partial combustion of the contaminants in the desorption chamber occurs both in close proximity to the soil bed and in the hot gas stream passing along the desorption chamber.
24. (amended) A process according to claim 19, including injecting air at the burner end of the desorption chamber.
25. (amended) A process according to claim 19, wherein in the first stage of the thermal oxidiser, preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, and auxiliary fuel are injected.
27. (amended) A process according to claim 19, wherein in the second zone of the thermal oxidizer, cold or preheated combustion air is injected into the hot gas stream to provide additional mixing and oxygen for combustion of residual compounds, and to control the gas inlet temperature to the heat exchangers.
28. (amended) A process according to claim 1, wherein the offgas from thermal oxidation is further treated by one or more modular off-gas treatments.
32. (amended) Apparatus according to claim 29, wherein the first furnace means is a high velocity desorber burner which provides a highly collimated stream of high temperature gases along the centre of the desorber.
33. (amended) Apparatus according to claim 29, further including, in the first stage of the thermal oxidiser, means to inject preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, and auxiliary fuel.
38. (amended) Apparatus according to claim 35, wherein the first furnace means is a high velocity desorber burner which provides a highly collimated stream of high pressure gases along the center of the desorber.
39. (amended) A process according to claim 1, wherein the offgas from thermal oxidation is further treated by one or more modular off-gas treatments.

REMARKS

The above preliminary amendment is made to remove multiple dependencies from claims 3, 4, 6, 7, 8, 11, 13, 14, 15, 17, 18, 22, 23, 24, 25, 27, 28, 32, 33, 38 and 39.

A new abstract page is supplied to conform to that appearing on the publication page of the WIPO application, but the new Abstract is typed on a separate page as required by U.S. practice.

Applicants respectfully request that the preliminary amendment described herein be entered into the record prior to calculation of the filing fee and prior to examination and consideration of the above-identified application.

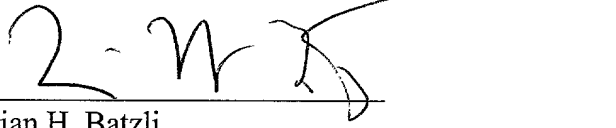
If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Brian H. Batzli (Reg. No. 32,960), at 612.336.4755.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
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Dated: March 1, 2001

By

  
Brian H. Batzli  
Reg. No. 32,960

BHB/kas

A process for energy efficient remediation of soil contaminated with hydrocarbons, including desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber (20) and thereafter combusting the contaminants in a thermal oxidizer (30). The combustion air for the desorption chamber and the thermal oxidiser, and the desorbed contaminants prior to admission to said thermal oxidiser, are preheated by heat exchange (40) with offgases from the thermal oxidiser. An apparatus is also disclosed as are processes in which the separated contaminants are treated in the thermal oxidizer in at least two stages, including a combustion stage (P) in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900-1200°C, and a second stage (a) in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature, and a process in which the desorbed contaminants in gaseous form are at least in part combusted within the desorption chamber by controlled admission of air in to the chamber above the bed to effect such combustion.

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## CLAIMS

1. A process for remediating soil contaminated with hydrocarbons, including:

desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the contaminants in a thermal oxidiser;

wherein combustion air for said desorption chamber and said thermal oxidiser, and said desorbed contaminants prior to admission to said thermal oxidiser, are preheated by heat exchange with offgases from the thermal oxidiser.

2. A process according to claim 1, wherein said offgases preheat the combustion air first and then the desorbed contaminants.

3. A process according to <sup>claim 1</sup> [claim 1 or 2] wherein excess preheated air is vented during treatment of higher contaminated soil.

4. A process according to <sup>claim 1</sup> [any one of claims 1 to 3] wherein the heat exchange is conducted in a heat exchanger having metal heat exchange surfaces and wherein the metal surface temperatures are maintained above 500°C and below 700°C.

5. A process according to claim 4, wherein the heat exchanger is arranged for co-current flow.

6. A process according to <sup>claim 1</sup> [any one of claims 1 to 5] wherein the hot gas flow through both the combustion air and contaminants heat exchanges is controlled.

7. A process according to <sup>claim 1</sup> [any one of claims 1 to 6] wherein the separated contaminants are treated in said thermal oxidiser in at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C,

and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

8. A process according to <sup>--claim--</sup>[any one of claims 1 to 7] wherein desorbed  
5 contaminants in gaseous form are at least in part combusted within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion.

9. Apparatus for remediating soil contaminated with hydrocarbons, including:

10 first furnace means defining a desorption chamber in which a bed of said soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;

second furnace means for combusting hydrocarbon contaminants by thermal oxidation;

15 means for conveying combustion air to said desorption chamber and to said second furnace means, and for conveying the desorbed contaminants from the absorption chamber to the second furnace means; and

heat exchange means arranged for preheating said combustion air and said desorbed contaminants by heat exchange with offgases from the second furnace means.

20 10. Apparatus according to claim 9, wherein the heat exchange means is arranged in a series configuration so that said offgases preheat the combustion air first and then the desorbed contaminants.

11. Apparatus according to <sup>--claim--</sup>[claim 9 or 10] wherein the heat exchange means is  
25 directly installed in the hot gas duct at the offgas outlet end of the second furnace means.

12. Apparatus according to claim 11, wherein the heat exchange means is arranged for co-current flow.

13. Apparatus according to <sup>claim 11</sup> [claim 11 or 12] wherein the leading tube bank of the heat exchange means incorporates variable tube spacing to facilitate said direct  
5 installation.

14. Apparatus according to <sup>--claim 9--</sup> [any one of claims 9 to 13], further including an energy dump valve from the heat exchange means for venting of excess preheated air.

15. Apparatus according to <sup>--claim 9--</sup> [any one of claims 9 to 14] wherein the heat  
10 exchange means includes a hot gas by-pass duct and damper system in either or both the offgas duct or by-pass duct to control hot gas flow through both the combustion air and contaminants heat exchangers.

16. Apparatus according to claim 15, wherein the heat exchanger for the contaminants is adapted to be made reversible depending on operating  
15 conditions.

17. Apparatus according to <sup>--claim 9--</sup> [any one of claims 9 to 16], wherein said second furnace for thermal oxidation includes at least two stages including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a  
20 second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

18. Apparatus according to <sup>--claim 9--</sup> [any one of claims 9 to 17], further including:

25 means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form; and

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means for conveying the products of said at least partial combustion to said second furnace means for further combustion therein.

19. A process for remediating soil contaminated with hydrocarbons, including:

5 desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the contaminants in a thermal oxidiser;

combusting the desorbed contaminants at least in part within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion;

10 wherein the separated contaminants are treated in said thermal oxidiser in at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in  
15 which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

20. A process according to claim 19, wherein the desorption chamber is provided in a rotary kiln.

21. A process according to claim 20, wherein the rotary kiln is inclined.

22. A process according to <sup>claim 20</sup> ~~claim 20 or 21~~ including admitting the contaminated  
20 soil to an upper, cooler end of the rotary kiln at a controlled rate and wherein rotation of the kiln causes the soil to move down the inside of the kiln towards the hotter end containing a burner.

23. A process according to <sup>claim 19</sup> ~~any one of claims 19 to 22~~ wherein the at least partial combustion of the contaminants in the desorption chamber occurs both in  
25 close proximity to the soil bed and in the hot gas stream passing along the desorption chamber.

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24. A process according to <sup>--claim 19--</sup>any one of claims 19 to 23, including injecting air at the burner end of the desorption chamber.

25. A process according to <sup>--claim 19--</sup>any one of claims 19 to 24, wherein in the first stage of the thermal oxidiser, preheated near stoichiometric amounts of combustion air, 5 preheated dedusted desorber offgases, and auxiliary fuel are injected.

26. A process according to claim 25, wherein the fuel rate and preheat to this burner is arranged to give said adiabatic flame temperature of the mixture of 900-1200°C.

27. A process according to <sup>--claim 19--</sup>any one of claims 19 to 26, wherein in the second 10 zone of the thermal oxidiser, cold or preheated combustion air is injected into the hot gas stream to provide additional mixing and oxygen for combustion of residual compounds, and to control the gas inlet temperature to the heat exchangers.

28. A process according to <sup>--claim 1--</sup>any one of claims 1 to 8, 19 to 27, wherein the 15 offgas from thermal oxidation is further treated by one or more modular off-gas treatments.

\* 29. Apparatus for remediating soil contaminated with hydrocarbons, including:

first furnace means defining a desorption chamber in which a bed of said soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;

20 second furnace means for combusting hydrocarbon contaminants by thermal oxidation;

means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form;

25 means for conveying the products of said at least partial combustion to said

second furnace means for further combustion therein;

5 wherein said second furnace for thermal oxidation includes at least two stages including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

30. Apparatus according to claim 29, wherein, the desorption chamber is provided in a rotary kiln that thereby constitutes the first furnace means.

10 31. Apparatus according to claim 30, wherein the rotary kiln is inclined.

32. Apparatus according to <sup>--claim 29--</sup>any one of the claims 29 to 31, wherein the first furnace means is a high velocity desorber burner which provides a highly collimated stream of high temperature gases along the centre of the desorber.

15 33. Apparatus according to <sup>--claim 29--</sup>any one of claims 29-32, further including, in the first stage of the thermal oxidiser, means to inject preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, and auxiliary fuel.

34. Apparatus according to claim 33, wherein the means to inject is a nozzle mix burner.

20 35. Apparatus according to claim 34, wherein the fuel rate and preheat to the burner is arranged to give said adiabatic flame temperature of the mixture of 900-1200°C.

second furnace means for combusting hydrocarbon contaminants by thermal oxidation;

means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form; and

means for conveying the products of said at least partial combustion to said second furnace means for further combustion therein.

36. Apparatus according to claim 35, wherein, the desorption chamber is provided in a rotary kiln that thereby constitutes the first furnace means.

37. Apparatus according to claim 36, wherein the rotary kiln is inclined.

38. Apparatus according to <sup>--claim 35--</sup> [any one of the claims 35 to 37] wherein the first furnace means is a high velocity desorber burner which provides a highly collimated stream of high temperature gases along the centre of the desorber.

39. A process according to <sup>--claim 1--</sup> [any one of claims 1 to 8, 19 to 23, 29 to 34] wherein the offgas from thermal oxidation is further treated by one or more modular off-gas treatments.

## SOIL REMEDIATION SYSTEM

### Field of the Invention

The present invention relates to the remediation of soil contaminated with hydrocarbons, utilising thermal desorption followed by thermal oxidation.

### 5 Background Art

There are numerous other types of processes for remediating soils, including soil washing, in-situ air stripping, in-situ vitrification, stabilisation, vacuum extraction and solvent extraction. However, the most universally proven and efficient method for removing organics from soil is thermal desorption, which together with treatment or destruction of the desorbed organics is termed thermal remediation. Hydrocarbon contaminants which are treatable with thermal remediation include:

- Volatile organic compounds (VOC) eg petrol, diesel,
- Aromatic hydrocarbons eg benzene, tars,
- Dioxins and furans,
- Semi-volatile organic compounds (SVOCs),
- Polynuclear aromatic hydrocarbons (PAHs or PNAs),
- Polychlorinated biphenyls (PCBs), and
- Pesticides (eg organochlorins such as dieldrin and aldrin).

Thermal remediation of contaminated soil uses heat to physically separate hydrocarbon based contaminants from feed material which may be, for example, directly recovered soils, sediments, sludges or filter cakes. The separated hydrocarbons are then combusted or thermally oxidised to produce essentially carbon dioxide and water vapour.

The most common process configuration involves a counter-current direct



fired desorber, but there are numerous variants. The most common alternative is the co-current desorber, which produces a hotter contaminated offgas stream. To avoid cooling these gases to enable fabric filtration, a cyclone is used to remove some of the dust prior to thermal oxidation, followed by gas cooling then fabric  
5 filtration. In another variant the functions of the thermal desorber and oxidiser are combined by arranging to combust the contaminant gases within a metal jacketed combustion chamber within a rotary desorber.

United States patent 5658094 discloses an arrangement in which heat exchangers are used for preheating combustion air for a thermal desorber. In that  
10 arrangement, there is described a combined (all metal) rotary device, a type of rotary kiln with internal indirect heating of both soil and combustion air, which is claimed to carry out combined thermal desorption and thermal oxidation.

German patent application 3447079 describes a process in which the contaminated soil is thermally treated in a rotary kiln by the direct addition of hot  
15 combustion gases and/or air. The decomposition products are partially combusted in the rotary kiln, with the remaining production gas fed to a waste gas combustion chamber where it is afterburnt at high temperatures. In general, the post-combustion waste gases are cooled and released into the atmosphere.

Various other methods of thermal remediation of soil are described in  
20 United States patents 5,455,005, 5,393,501, 4,715,965, 4,974,528, and 5,378,083.

The main difference between different technologies is the equipment used for thermal desorption, which may be one of four main types, the advantages and disadvantages of which are summarised in Table 1 (obtained from various  
25 sources, including W.L. Troxler et al, "Treatment of non-hazardous petroleum-contaminated soils by thermal desorption technologies", Jnl of Air and Waste, Vol. 43, Nov. 1993, and W.C. Anderson, "Innovative site remediation technology", Thermal Desorption, WASTECH, 1993).

Table 1

## Main Types of Thermal Remediation

	Advantages	Disadvantages
Direct fired rotary kilns	<ul style="list-style-type: none"> <li>• High rates of heat transfer.</li> <li>• Smaller desorber than indirect fired.</li> <li>• Simplest, most robust.</li> <li>• Most flexible to variation in feed material and type and level of contamination.</li> </ul>	<ul style="list-style-type: none"> <li>• Larger thermal oxidiser than for indirect fired.</li> <li>• Dilution strategies are usually required for hydrocarbon contamination levels of &gt;4% to avoid exceeding the LEL of desorber offgases.</li> </ul>
Indirect fired rotary kilns	<ul style="list-style-type: none"> <li>• May allow economic recovery of hydrocarbons.</li> <li>• Lower dust losses from desorber.</li> </ul>	<ul style="list-style-type: none"> <li>• Unsuitable for heavy contamination, especially of long chain or aromatic hydrocarbons (tars).</li> <li>• Larger desorber.</li> <li>• Higher moisture soils severely impair capacity.</li> </ul>
Combination direct/indirect fired desorber, with integral thermal oxidiser	<ul style="list-style-type: none"> <li>• Process simplification by using a single process step.</li> </ul>	<ul style="list-style-type: none"> <li>• Inability to process large gas volumes.</li> <li>• Lower peak soil temperatures will prevent practical decontamination of heavily contaminated soils, especially with PAHs or PCBs.</li> <li>• Less suitable for high moisture soils.</li> </ul>
Direct fired conveyors, including metal belts and screws	<ul style="list-style-type: none"> <li>• As for indirect fired rotary kilns.</li> <li>• Improved control over solids residence time.</li> </ul>	<ul style="list-style-type: none"> <li>• As for indirect fired rotary kilns.</li> </ul>
Direct fired fluidised beds	<ul style="list-style-type: none"> <li>• Highest process intensity.</li> </ul>	<ul style="list-style-type: none"> <li>• Increased complexity.</li> <li>• Increased dust losses/recycling of dusts.</li> <li>• Requires fine and uniform sized material (normally less than 5mm).</li> <li>• Increased maintenance (abrasion).</li> </ul>

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Remediation plants may be either stationary or mobile, with the larger, stationary plants being restricted to remediation of large heavily contaminated sites (eg large integrated steelworks sites), regional clusters of contaminated sites, or under circumstances where transport of contaminated materials is economic and not hazardous.

Key technical factors in thermal remediation include:

- Solids temperature and contact time.
- Soil moisture when treated.
- Actual soil hydrocarbon contaminants present.
- Other contamination, eg chlorine compounds and heavy metals.
- Extraneous rubble.

It is an object of the present invention to provide an improved method and apparatus for remediating soil contaminated with hydrocarbons that is capable in preferred embodiment of optimising energy usage and operating costs for a given soil throughput, and that is preferably adaptable to treat short chain, long chain, aromatic, and polychlorinated hydrocarbons. In particular embodiments, it is further desired to minimise environmental impacts, especially greenhouse gases, NO<sub>x</sub> and dioxin/furan emissions.

### Summary of Invention

The invention accordingly provides, in a first aspect, a process for remediating soil contaminated with hydrocarbons, including:

desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the contaminants in a thermal oxidiser;

wherein combustion air for said desorption chamber and said thermal oxidiser, and said desorbed contaminants prior to admission to said thermal

oxidiser, are preheated by heat exchange with offgases from the thermal oxidiser.

The invention further provides, in its first aspect, apparatus for remediating soil contaminated with hydrocarbons, including:

5 first furnace means defining a desorption chamber in which a bed of said soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;

second furnace means for combusting hydrocarbon contaminants by thermal oxidation;

10 means for conveying combustion air to said desorption chamber and to said second furnace means, and for conveying the desorbed contaminants from the absorption chamber to the second furnace means; and

15 heat exchange means arranged for preheating said combustion air and said desorbed contaminants by heat exchange with offgases from the second furnace means.

Preferably, the heat exchange means is further arranged in a series configuration so that said offgases preheat the combustion air first and then the desorbed contaminants.

20 Advantageously, the heat exchange means is directly installed in the hot gas duct at the offgas outlet end of the second furnace means for thermal oxidation, and is preferably arranged for co-current flow. The leading tube bank of the heat exchange means preferably incorporates variable tube spacing to facilitate the aforementioned direct installation (preferably without radiation shields or excess metal temperatures).

25 There may be an energy dump valve from the heat exchange means for venting of excess preheated air as will occur during treatment of higher

contaminated soil. Preferably, the process and energy dump valve are controlled to maintain metal temperatures above 500°C, to minimise dioxin formation from PCB or salt contaminated soils, but below 700°C to minimise metal oxidation, corrosion and expansion damage. The heat exchange means may have a hot gas  
5 by-pass duct and damper system in either or both the offgas duct or by-pass duct to control hot gas flow through both the combustion air and contaminants heat exchanges.

The heat exchanger for the contaminants may have either co-current or counter current flow, and may be adapted to be made reversible depending on  
10 operating conditions.

In a second aspect, the invention provides a process for remediating soil contaminated with hydrocarbons, including:

desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the  
15 contaminants in a thermal oxidiser,

combusting the desorbed contaminants at least in part within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion;

wherein the separated contaminants are treated in said thermal oxidiser in  
20 at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

25 In its second aspect, the invention further provides apparatus for remediating soil contaminated with hydrocarbons, including:

first furnace means defining a desorption chamber in which a bed of said

soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;

second furnace means for combusting hydrocarbon contaminants by thermal oxidation;

5 means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form;

means for conveying the products of said at least partial combustion to said second furnace means for further combustion therein; and

10 wherein said second furnace for thermal oxidation includes at least two stages including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in which a second supply of combustion air is admitted for combustion of residual  
15 compounds and for controlling the offgas outflow temperature.

Preferably, the desorption chamber is provided in a rotary kiln that thereby constitutes the first furnace means and is preferably inclined. The contaminated soil, which is advantageously optimally sized and prepared, is preferably admitted to an upper, cooler end of the rotary kiln at a controlled rate, and the rotation of  
20 the kiln then causes the soil to move down the inside of the kiln towards the hotter end containing a burner. The heat from the burner and other exothermic reactions in the kiln heats the soil, causing it to dry and "desorb" (a term which includes without limitation evaporation, decomposition and gasification) contained hydrocarbon contaminants.

25 Preferably, the at least partial combustion of the contaminants in the desorption chamber occurs both in close proximity to the soil bed and in the hot gas stream passing along the desorber. The air admitted to effect such combustion may be injected at the burner end of the desorption chamber. The first

furnace means is preferably a high velocity desorber burner which provides a highly collimated stream of high temperature gases along the centre of the desorber.

In the preferred operation of the first stage of the thermal oxidiser, preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, ie desorbed contaminants, and auxiliary fuel are injected, preferably via a nozzle mix burner. The fuel rate and preheat to this burner is arranged to give said adiabatic flame temperature of the mixture of 900-1200°C, and thus avoids localised high temperatures and high NO<sub>x</sub> from the use of preheated combustion air. However, the temperature is sufficient to destroy any gaseous contaminants in the desorber gases. These hot gases then pass into the second zone of the thermal oxidiser where cold or preheated combustion air is injected into the hot gas stream to provide additional mixing and oxygen for combustion of residual compounds, and to control the gas inlet temperature to the heat exchangers.

The invention also extends to methods or apparatus incorporating both of the aspects of the invention.

The offgas from thermal oxidation may be further treated (eg after said heat exchanges in the first aspect of the invention) by one or more modular off-gas treatments according to the nature of the original contaminants, and the requirements of the soils being remediated. For low chlorine containing soils, such an off-gas treatment system may be omitted, and replaced with a short stack. For higher chlorine containing soils, where the risk of dioxin or hydrochloride containing gases is evident, a scrubber section may be used. A suitable scrubber can treat most of the offgases. A small bleed of hot off-gas or preheated combustion air is allowed to by-pass the scrubber to provide reheating of the scrubbed gas stream in the stack thereby preventing drooping or visible plumes. For gases of intermediate chlorine compound content, a module comprising an ambient air quenching module may be used, wherein a large volume of ambient air is injected into the offgases to rapidly quench them to less than 200°C.

**Brief Description of the Drawings**

Figure 1 is a block flow diagram of an apparatus incorporating embodiments of the principal aspects of the invention; and

Figure 2 is a diagram depicting combustion of desorbed contaminants in  
5 the desorber kiln.

**Description of Preferred Embodiments**

10 The illustrated system includes a pair of furnaces 20, 30, being a slightly inclined countercurrent rotary kiln 20 for effecting thermal desorption and a 2-stage thermal oxidiser 30. The off-gases 32 from thermal oxidiser 30 pass directly through a 2-stage heat exchanger 40. In the first stage 42 of the series arrangement, itself consisting of a pair of sub-stage tube banks M, L, cold combustion air admitted along supply duct 41 is pre-heated for delivery to the lower, burner ends of desorber kiln 20 and oxidiser 30 by respective combustion



air ducts 43a, 43b. In the second stage 44 of heat exchanger 40, again consisting of respective sub-stage tube banks J, K, off-gases (including desorbed contaminants) recovered from the upper end of desorber kiln 20 via line 21, and cleaned and dedusted by cyclone 22 and bagfilter 23, are pre-heated for delivery 5 to the burner end of thermal oxidiser 30 via contaminant vapours supply line 45. Preheating may be to a temperature in the range 350-500°C.

Sized and otherwise prepared soils requiring remediation are transferred at a controlled rate into the upper or cooler end of desorber kiln 20 at delivery port 24. The desorber kiln is inclined so that its rotation causes the soil to move down 10 inside the kiln towards the burner end 20a. The heat from the burner 27 and from other exothermic reactions in the kiln, heats the soil, causing it to dry and desorb contained hydrocarbon contaminants.

The pre-heated combustion air in delivery duct 43a for desorber kiln 20 is divided into a first stream 25 for burner 27, and a second stream 26 of overbed 15 combustion air for effecting at least partial combustion of the desorbed hydrocarbon contaminants within the kiln. This combustion takes place both in close proximity to the soil bed in the kiln and to the soil particles cascading through the hot gas stream, and in the hot gas stream passing along its interior. A suitable kiln for the desorber 20 is a high velocity burner such as the North 20 American Hi Ram kiln burner, which provides a highly collimated stream of high temperature gases along the centre of the kiln. Application of this burner type with the abovementioned admission of overbed air 26 ensures efficient and reliable ignition of hydrocarbons as they evolve from the soil as it progresses along the kiln, as depicted in Figure 2.

25 In the case of soils with high hydrocarbon contamination levels, energy conservation will be secondary to controlling the level to be below the Lower Explosive Limited (LEL) (typically 1½ - 2%) of the desorber off-gas. For this situation, the temperature of the desorber off-gases in duct 21 may be increased by controlling both the energy input to the desorber burner 27 and the amount of 30 insitu combustion, to allow dilution of the desorber off-gases prior to gas cleaning. Controlled amounts of water may be injected via sprays 29 located in duct 21

immediately downstream of the desorber kiln. Thus as hydrocarbon contamination increases to a value above the LEI, combustion is controlled in the kiln both to minimise energy consumption and to keep the hydrocarbon level in the off-gas below the LEL: energy efficiency and safety issues must both be managed.

- 5 Remediated soil is recovered from desorber kiln at 28 at burner end 21a. The vapours exiting the desorber in duct 21 typically at around 275°C, typically comprise 50% steam, 5% carbon dioxide, 44% nitrogen, and approximately 0.5-1% volatile hydrocarbons contaminants desorbed from the soil bed. As previously mentioned these vapours are cleaned of solid matter entrained from the kiln by  
10 cyclone 22 and/or bagfilter 23 before being pre-heated in heat exchanger stage 44 and injected into the thermal oxidiser via line 45.

- The thermal oxidiser 30 is a 2-stage refractory-lined chamber comprising one or more burners to assist complete combustion of the hydrocarbon contaminated vapours from the thermal desorber. Typically the gases are heated  
15 and combusted at 1000-1200°C for approximately 1000ms. To minimise NO<sub>x</sub> formation, and to decrease radiation to the front of the heat exchanger, the thermal oxidiser has two sequential combustion zones; i) the primary combustion zone (P) and, ii) the post-combustion zone (Q). Preheated combustion air, preheated contaminant vapours and auxiliary fuel are injected into the primary  
20 combustion zone using, preferably, but not restricted to, a nozzle mixing burner or burners 36. The air in the gas mixture is controlled to give an overall stoichiometric or slightly sub-stoichiometric combustion. Additional unheated combustion air is injected via ports around the periphery and at the entry to an afterburner 55 to give an overall excess oxygen in the hot gases of approximately  
25 3% to ensure complete destruction of contaminant hydrocarbons, to provide additional turbulence, and to control the temperature of the gases entering the heat exchanger to typically between 950 and 1100°C. Gas temperatures above 1100°C will lead to decreased heat exchanger life.

- Features of heat exchanger 40 include a wider tube spacing for the leading  
30 rows of tubes (typically three rows, to decrease convective heat transfer to these rows subject to high radiant heat fluxes), in bank M, and an energy dump valve

50. The combination of these features allows direct installation of heat exchanger 40 in the hot gas duct at the outlet of thermal oxidiser 30, without the need for radiation shields and without incurring excess metal temperatures. This saves weight and cost. Dump valve 50 allows venting of excess pre-heated air from the leading tube bank M during operation. This dumping allows accurate control of the process energy balance with varying moisture and hydrocarbon contamination levels. In addition, this facility decreases manufacturing costs for the heat exchanger by allowing the use of lower alloy steels, and increases heat exchanger life.

10 An optional feature to cope with even more extreme and variable operating conditions is to equip heat exchanger 40 with a bypass duct 55 and associated damper (either in one or both of the heat exchanger stages), to further increase the flexibility of the process to treat higher contaminated soils, and to improve the operational safety of the heat exchanger stages.

15 The heat exchanger features, together with controlled combustion of hydrocarbon contaminants in desorber kiln 20, the use of nozzle mixing burners, and the 2-stage combustion in thermal oxidiser 30, combine to minimise overall energy consumption and therefore operating costs, greenhouse gas and NO<sub>x</sub> emissions, and to increase throughput by minimising the gas volumes processed.

20 These features also allow maintenance of metal temperatures above 500°C to minimise dioxin formation from PCB or salt-contaminated soils, but below 700°C to minimise exchanger metal oxidation and corrosion. In addition, the system design allows control such that the heat exchanger exit gas temperature is maintained above 600°C to further minimise dioxin formation.

25 It is believed that, relative to no pre-heating, a total 55% reduction in energy consumption is achieved with the illustrated system by pre-heating all combustion air and the contaminant hydrocarbon vapours, at a level where combustion of hydrocarbon vapours in desorber kiln 20 is at about 20%. The reduction in energy consumption is complemented by reduced CO<sub>2</sub> and NO<sub>x</sub> levels.

30 A further advantage of preheating is that the size of the thermal oxidiser in

particular, and to a lesser extent the kiln and the baghouse, can be reduced.

The drawing also illustrates several modules for further off-gas treatment downstream of heat exchanger 40. These modules may be variously provided according to the characteristics of the contamination. For low chlorine containing soils, there is no further off-gas treatment and a short refractory line stack 60 is utilised. This approach minimises water and electrical energy consumption.

For high chlorine or PCB containing soils, where the risk of dioxin or hydrochloride containing gases is high, a scrubber section 62 is used to quench the off-gases and remove the chlorides. A preferred embodiment under these conditions is to allow a small bleed of hot off-gas (about 10%, depending on contamination levels) to bypass the scrubber on line 63 to provide sufficient re-heating of the scrubbed gas stream in the stack to prevent drooping or visible fumes. A proportion of the pre-heated combustion air may also be delivered to this bypass 63 by a delivery duct 43c.

For gases of intermediate chlorine compound content, an ambient air quenching module is used, wherein a large volume of ambient air is injected at 65 into stack 60 to rapidly quench (within less than 750ms) the off-gases to below 200°C. Such a module might comprise, for example, a fan sucking in ambient air or an ejector powered by the hot offgases.

It will be understood that the invention disclosed and defined in this specification extends to all alternative combinations of two or more of the individual features mentioned or evident from the text or drawings. All of these different combinations constitute various alternative aspects of the invention.

**CLAIMS**

1. A process for remediating soil contaminated with hydrocarbons, including:

desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the contaminants in a thermal oxidiser;

wherein combustion air for said desorption chamber and said thermal oxidiser, and said desorbed contaminants prior to admission to said thermal oxidiser, are preheated by heat exchange with offgases from the thermal oxidiser.

2. A process according to claim 1, wherein said offgases preheat the combustion air first and then the desorbed contaminants.

3. A process according to claim 1 or 2, wherein excess preheated air is vented during treatment of higher contaminated soil.

4. A process according to any one of claims 1 to 3, wherein the heat exchange is conducted in a heat exchanger having metal heat exchange surfaces and wherein the metal surface temperatures are maintained above 500°C and below 700°C.

5. A process according to claim 4, wherein the heat exchanger is arranged for co-current flow.

6. A process according to any one of claims 1 to 5, wherein the hot gas flow through both the combustion air and contaminants heat exchanges is controlled.

7. A process according to any one of claims 1 to 6, wherein the separated contaminants are treated in said thermal oxidiser in at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C,

and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

8. A process according to any one of claims 1 to 7, wherein desorbed  
5 contaminants in gaseous form are at least in part combusted within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion.
9. Apparatus for remediating soil contaminated with hydrocarbons, including:
- 10 first furnace means defining a desorption chamber in which a bed of said soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;
- second furnace means for combusting hydrocarbon contaminants by thermal oxidation;
- 15 means for conveying combustion air to said desorption chamber and to said second furnace means, and for conveying the desorbed contaminants from the absorption chamber to the second furnace means; and
- heat exchange means arranged for preheating said combustion air and said desorbed contaminants by heat exchange with offgases from the second furnace means.
- 20 10. Apparatus according to claim 9, wherein the heat exchange means is arranged in a series configuration so that said offgases preheat the combustion air first and then the desorbed contaminants.
11. Apparatus according to claim 9 or 10, wherein the heat exchange means is directly installed in the hot gas duct at the offgas outlet end of the second furnace  
25 means.

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12. Apparatus according to claim 11, wherein the heat exchange means is arranged for co-current flow.

13. Apparatus according to claim 11 or 12, wherein the leading tube bank of the heat exchange means incorporates variable tube spacing to facilitate said direct  
5 installation.

14. Apparatus according to any one of claims 9 to 13, further including an energy dump valve from the heat exchange means for venting of excess preheated air.

15. Apparatus according to any one of claims 9 to 14, wherein the heat  
10 exchange means includes a hot gas by-pass duct and damper system in either or both the offgas duct or by-pass duct to control hot gas flow through both the combustion air and contaminants heat exchangers.

16. Apparatus according to claim 15, wherein the heat exchanger for the  
15 contaminants is adapted to be made reversible depending on operating conditions.

17. Apparatus according to any one of claims 9 to 16, wherein said second  
furnace for thermal oxidation includes at least two stages including a combustion  
stage in which the contaminants are combusted with a first supply of combustion  
air at a substantially adiabatic temperature in the range 900 - 1200°C, and a  
20 second stage in which a second supply of combustion air is admitted for  
combustion of residual compounds and for controlling the offgas outflow  
temperature.

18. Apparatus according to any one of claims 9 to 17, further including:

means for controlled admission of air into said desorption chamber above  
25 said bed to effect in the said chamber at least partial combustion of said  
desorbed contaminants in gaseous form; and

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means for conveying the products of said at least partial combustion to said second furnace means for further combustion therein.

19. A process for remediating soil contaminated with hydrocarbons, including:

5 desorbing the hydrocarbon contaminants from a bed of the soil by thermal desorption in a treated desorption chamber and thereafter combusting the contaminants in a thermal oxidiser;

combusting the desorbed contaminants at least in part within said desorption chamber by controlled admission of air into said chamber above said bed to effect such combustion;

10 wherein the separated contaminants are treated in said thermal oxidiser in at least two stages, including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in  
15 which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

20. A process according to claim 19, wherein the desorption chamber is provided in a rotary kiln.

21. A process according to claim 20, wherein the rotary kiln is inclined.

22. A process according to claim 20 or 21, including admitting the contaminated  
20 soil to an upper, cooler end of the rotary kiln at a controlled rate and wherein rotation of the kiln causes the soil to move down the inside of the kiln towards the hotter end containing a burner.

23. A process according to any one of claims 19 to 22, wherein the at least  
25 partial combustion of the contaminants in the desorption chamber occurs both in close proximity to the soil bed and in the hot gas stream passing along the desorption chamber.



24. A process according to any one of claims 19 to 23, including injecting air at the burner end of the desorption chamber.
25. A process according to any one of claims 19 to 24, wherein in the first stage of the thermal oxidiser, preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, and auxiliary fuel are injected.
26. A process according to claim 25, wherein the fuel rate and preheat to this burner is arranged to give said adiabatic flame temperature of the mixture of 900-1200°C.
27. A process according to any one of claims 19 to 26, wherein in the second zone of the thermal oxidiser, cold or preheated combustion air is injected into the hot gas stream to provide additional mixing and oxygen for combustion of residual compounds, and to control the gas inlet temperature to the heat exchangers.
28. A process according to any one of claims 1 to 8, 19 to 27, wherein the offgas from thermal oxidation is further treated by one or more modular off-gas treatments.
- \* 29. Apparatus for remediating soil contaminated with hydrocarbons, including:
- first furnace means defining a desorption chamber in which a bed of said soil may be treated to separate the hydrocarbon contaminants from the soil by thermal desorption;
- second furnace means for combusting hydrocarbon contaminants by thermal oxidation;
- means for controlled admission of air into said desorption chamber above said bed to effect in the said chamber at least partial combustion of said desorbed contaminants in gaseous form;
- means for conveying the products of said at least partial combustion to said

second furnace means for further combustion therein;

5 wherein said second furnace for thermal oxidation includes at least two stages including a combustion stage in which the contaminants are combusted with a first supply of combustion air at a substantially adiabatic temperature in the range 900 - 1200°C, and a second stage in which a second supply of combustion air is admitted for combustion of residual compounds and for controlling the offgas outflow temperature.

30. Apparatus according to claim 29, wherein, the desorption chamber is provided in a rotary kiln that thereby constitutes the first furnace means.

10 31. Apparatus according to claim 30, wherein the rotary kiln is inclined.

32. Apparatus according to any one of the claims 29 to 31, wherein the first furnace means is a high velocity desorber burner which provides a highly collimated stream of high temperature gases along the centre of the desorber.

15 33. Apparatus according to any one of claims 29-32, further including, in the first stage of the thermal oxidiser, means to inject preheated near stoichiometric amounts of combustion air, preheated dedusted desorber offgases, and auxiliary fuel.

34. Apparatus according to claim 33, wherein the means to inject is a nozzle mix burner.

20 35. Apparatus according to claim 34, wherein the fuel rate and preheat to the burner is arranged to give said adiabatic flame temperature of the mixture of 900-1200°C.

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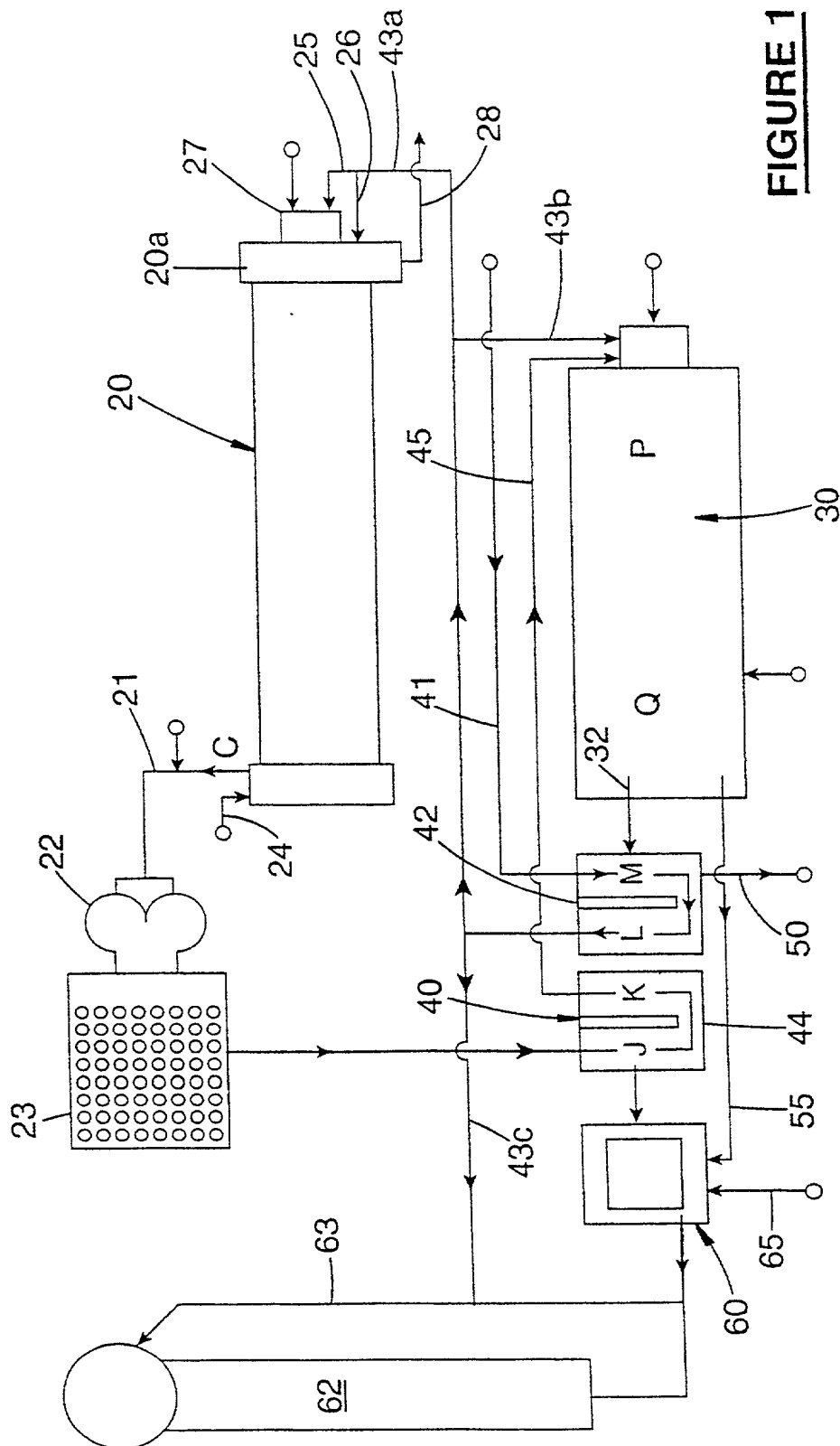


FIGURE 1

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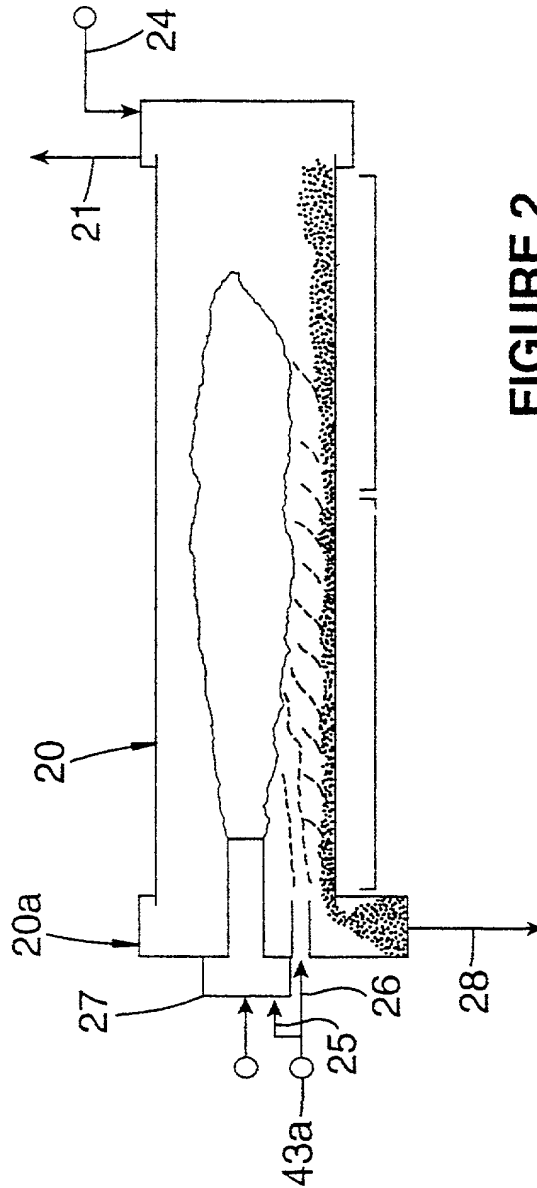


FIGURE 2

Attorney Docket No.

MERCHANT & GOULD P.C.

United States Patent Application

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

The specification of which

- a. ☒ is attached hereto
- b. ☐ was filed on \_\_\_\_\_ as application serial no. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable) (in the case of a PCT-filed application) described and claimed in international no. \_\_\_\_\_ filed and as amended on \_\_\_\_\_ (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (attached hereto).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

- a. ☐ no such applications have been filed.
- b. ☒ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
AUSTRALIA	PP 6185	28/09/1998	
AUSTRALIA	PCT/AU99/00785	16/09/1999	
ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION NUMBER	DATE OF FILING (Day, Month, Year)

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

88

Albrecht, John W.	Reg. No. 40,481	Kowalchyk, Alan W.	Reg. No. 31,535
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Anderson, Gregg I.	Reg. No. <u>28,828</u>	Lacy, Paul E.	Reg. No. <u>38,946</u>
Batzli, Brian H.	Reg. No. <u>32,960</u>	Larson, James A.	Reg. No. <u>40,443</u>
Beard, John L.	Reg. No. <u>27,612</u>	Liepa, Mara E.	Reg. No. <u>40,066</u>
Berns, John M.	Reg. No. <u>43,496</u>	Lindquist, Timothy A.	Reg. No. <u>40,701</u>
Black, Bruce E.	Reg. No. <u>41,622</u>	Lycke, Lawrence E.	Reg. No. <u>38,540</u>
Branch, John W.	Reg. No. <u>41,633</u>	McAuley, Steven A.	Reg. No. <u>46,084</u>
Bremer, Dennis C.	Reg. No. <u>40,528</u>	McDonald, Daniel W.	Reg. No. <u>32,044</u>
Bruess, Steven C.	Reg. No. <u>34,130</u>	McIntyre, Jr., William F.	Reg. No. <u>44,921</u>
Byrne, Linda M.	Reg. No. <u>32,404</u>	Mueller, Douglas P.	Reg. No. <u>30,300</u>
Campbell, Keith	Reg. No. <u>P-46,597</u>	Pauly, Daniel M.	Reg. No. <u>40,123</u>
Carlson, Alan G.	Reg. No. <u>25,959</u>	Phillips, Bryan K.	Reg. No. <u>P-46,990</u>
Caspers, Philip P.	Reg. No. <u>33,227</u>	Phillips, John B.	Reg. No. <u>37,206</u>
Chiapetta, James R.	Reg. No. <u>39,634</u>	Plunkett, Theodore	Reg. No. <u>37,209</u>
Clifford, John A.	Reg. No. <u>30,247</u>	Prendergast, Paul	Reg. No. <u>46,068</u>
Daignault, Ronald A.	Reg. No. <u>25,968</u>	Pytel, Melissa J.	Reg. No. <u>41,512</u>
Daley, Dennis R.	Reg. No. <u>34,994</u>	Qualey, Terry	Reg. No. <u>25,148</u>
Darjish, Leslie E.	Reg. No. <u>40,579</u>	Reich, John C.	Reg. No. <u>37,703</u>
Daulton, Julie R.	Reg. No. <u>36,414</u>	Reiland, Earl D.	Reg. No. <u>25,767</u>
DeVries Smith, Katherine M.	Reg. No. <u>42,157</u>	Schmaltz, David G.	Reg. No. <u>39,828</u>
DiPietro, Mark J.	Reg. No. <u>28,707</u>	Schuman, Mark D.	Reg. No. <u>31,197</u>
Edell, Robert T.	Reg. No. <u>20,187</u>	Schumann, Michael D.	Reg. No. <u>30,422</u>
Epp Ryan, Sandra	Reg. No. <u>39,667</u>	Scull, Timothy B.	Reg. No. <u>42,137</u>
Glance, Robert J.	Reg. No. <u>40,620</u>	Sebald, Gregory A.	Reg. No. <u>33,280</u>
Goggin, Matthew J.	Reg. No. <u>44,125</u>	Skoog, Mark T.	Reg. No. <u>40,178</u>
Gotta, Charles E.	Reg. No. <u>26,896</u>	Spellman, Steven J.	Reg. No. <u>45,124</u>
Gorman, Alan G.	Reg. No. <u>38,472</u>	Stoll-DeBell, Kirstin L.	Reg. No. <u>43,164</u>
Gould, John D.	Reg. No. <u>18,223</u>	Sumner, John P.	Reg. No. <u>29,114</u>
Gregson, Richard	Reg. No. <u>41,804</u>	Swenson, Erik G.	Reg. No. <u>45,147</u>
Gressens, John J.	Reg. No. <u>33,112</u>	Tellekson, David K.	Reg. No. <u>32,314</u>
Hamer, Samuel A.	Reg. No. <u>P-46,754</u>	Trembath, Jon R.	Reg. No. <u>38,344</u>
Hamre, Curtis B.	Reg. No. <u>29,165</u>	Underhill, Albert L.	Reg. No. <u>27,403</u>
Harrison, Kevin C.	Reg. No. <u>P-46,759</u>	Vandenburgh, J. Derek	Reg. No. <u>32,179</u>
Heftzberg, Brett A.	Reg. No. <u>42,660</u>	Wahl, John R.	Reg. No. <u>33,044</u>
Hillson, Randall A.	Reg. No. <u>31,838</u>	Weaver, Karrie G.	Reg. No. <u>43,245</u>
Holzer, Jr., Richard J.	Reg. No. <u>42,668</u>	Welter, Paul A.	Reg. No. <u>20,890</u>
Johnston, Scott W.	Reg. No. <u>39,721</u>	Whipps, Brian	Reg. No. <u>43,261</u>
Kadievitch, Natalie D.	Reg. No. <u>34,196</u>	Wickhem, J. Scot	Reg. No. <u>41,376</u>
Karjeker, Shaukat	Reg. No. <u>34,049</u>	Williams, Douglas J.	Reg. No. <u>27,054</u>
Kastelic, Joseph M.	Reg. No. <u>37,160</u>	Witt, Jonelle	Reg. No. <u>41,980</u>
Kettelberger, Denise	Reg. No. <u>33,924</u>	Wu, Tong	Reg. No. <u>43,361</u>
Keys, Jeramie J.	Reg. No. <u>42,724</u>	Xu, Min S.	Reg. No. <u>39,536</u>
Knearl, Homer L.	Reg. No. <u>21,197</u>	Zeuli, Anthony R.	Reg. No. <u>45,255</u>

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903

\*2355  
2\*

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
1	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 201: HAMILTON SOUTH			Date: 26/2/01	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
2	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 202: L. L. L. L. L.			Date: 26/2/01	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
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3	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 203:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
4	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 204:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
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5	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 205:			Date:	

2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
6	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 206:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
7	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 207:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
8	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 208:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
0	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
9	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 209:			Date:	
2	Full Name Of Inventor	Family Name	First Given Name	Second Given Name
1	Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
0	Post Office Address	Post Office Address	City	State & Zip Code/Country
Signature of Inventor 210:			Date:	



### § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application:

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.